

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

Applicant(s): **Yong Ho Son et al.** Case: **DIVA/137CON1**  
Serial No.: **not yet assigned** Filed: **herewith**  
Group Art Unit: **not yet assigned** Examiner: **not yet assigned**  
Title: **LOGICAL NODE IDENTIFICATION IN AN INFORMATION  
TRANSMISSION NETWORK**

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:  
INFORMATION DISCLOSURE STATEMENT UNDER  
37 C.F.R. 1.97 AND 1.98

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. Pursuant to 37 C.F.R. 1.98(d), these documents were previously cited by or submitted with a Disclosure Statement in a prior application that is relied upon by the above-identified continuation application for an earlier filing date under 35 U.S.C. 120. As such, the documents may be found in the file wrapper of U.S. patent application serial number 09/436,934, filed on November 8, 1999.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-0782.

Respectfully submitted,

By: \_\_\_\_\_

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U.S. Department of Commerce, Patent and Trademark Office					Docket No.		Serial No.	
(PTO Form 1449 modified)					DIVA/137CON1		Not yet assigned	
<b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b>					Applicant Yong Ho Son et al.		Confirmation No.: Not yet assigned	
(Use several sheets if necessary)					Filing Date		Group	
Examiner Not yet assigned					Herewith		Not yet assigned	
<b>U.S. Patent Documents</b>								
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate	
	A1	5,481,542	01-1996	Logston et al.	725	132		
	A2	5,557,317	09-1996	Nishio et al.	348	7		
	A3	5,650,994	07-1997	Daley	370	259		
	A4	5,671,217	09-1997	Adams et al.	370	233		
	A5	5,905,726	05-1999	Gupta, Dev. V.	370	390		
	A6	6,253,375	06-2001	Gordon et al.	725	88		
	A7	3,378,130	04-2002	Adams, Michael Brian	725	95		
	A8							
	A9							
<b>Foreign Patent Documents</b>								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1						<input type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER ART</b>								
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.						
	C1	"Optimization of Downstream Delivery on a CATV Network," IEEE Communications, 6/23/199606/27/1996, pp. 1138-1142						
	C2	"The High-Tech Behind Broadcasting DIRECTV," DirecTV System Technology; pg. 1-3						
	C3	"Today's Video Servers: Key Technology Issues, Reaping the Benefits of Video-On-Demand is Not Without its Challenges," DelKunert, Concurrent Computer Corporation, Broadband Systems & Design, 6 pages						
	C4	"The Impact of Digital Video Servers on Broadcast Studio Efficiency, Profitability and Growth," Ernie G. Leon, Concurrent Computer Corporation, Ft. Lauderdale, Florida, 14 pages						
	C5	"A Migration Strategy To High Capacity Return on HFC," Jim Chiddix, Time Warner Cable, Donald Gall, Time Warner Cable, Gerry Shimirak, Raychem, Time Warner Cable, pages 1-11						
	C6	"The Interactive Video Network: An Overview of the Video Manager and the V Protocol," Roger E. Libman et al., AT&T Technical Journal, Vo. 74, No. 5, September 1, 1995, pp. 92-105, XP000531012						
Examiner					Date Considered			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								